



# Hypercyclic convolution operators on Fréchet spaces of analytic functions

Daniel Carando<sup>a,1,2</sup>, Verónica Dimant<sup>b,\*,1</sup>, Santiago Muro<sup>a,1,3</sup>

<sup>a</sup> *Departamento de Matemática, Pab I, Facultad de Cs. Exactas y Naturales, Universidad de Buenos Aires, (1428) Buenos Aires, Argentina*

<sup>b</sup> *Departamento de Matemática, Universidad de San Andrés, Vito Dumas 284, (B1644BID) Victoria, Buenos Aires, Argentina*

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## Abstract

A result of Godefroy and Shapiro states that the convolution operators on the space of entire functions on  $\mathbb{C}^n$ , which are not multiples of identity, are hypercyclic. Analogues of this result have appeared for some spaces of holomorphic functions on a Banach space. In this work, we define the space holomorphic functions associated to a sequence of spaces of polynomials and determine conditions on this sequence that assure hypercyclicity of convolution operators. Some known results come out as particular cases of this setting. We also consider holomorphic functions associated to minimal ideals of polynomials and to polynomials of the Schatten–von Neumann class.

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\* Corresponding author.

*E-mail addresses:* [dcarando@dm.uba.ar](mailto:dcarando@dm.uba.ar) (D. Carando), [vero@udesa.edu.ar](mailto:vero@udesa.edu.ar) (V. Dimant), [smuro@dm.uba.ar](mailto:smuro@dm.uba.ar) (S. Muro).

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## 0. Introduction

This note deals with convolution operators on Fréchet spaces of holomorphic functions associated to certain classes of polynomials. In particular, we are interested in determining when such operators are hypercyclic. Recall that convolution operators are those that commute with translations. Also, an operator  $T : X \rightarrow X$  is hypercyclic if there exists  $x \in X$  such that  $\{T^n x : n \geq 1\}$  is dense in  $X$ .

In a seminal work, Godefroy and Shapiro [18] show that every convolution operator in  $\mathcal{H}(\mathbb{C}^n)$  which is not a scalar multiple of identity is hypercyclic. In this way, they generalize classical results of Birkhoff [5] and MacLane [23] on the hypercyclicity of the translation and differentiation operators on  $\mathcal{H}(\mathbb{C})$ . Analogues of Godefroy and Shapiro's result for some particular spaces of holomorphic functions on Banach spaces are proved in [1,26,27].

Let  $E$  be a Banach space. Given a coherent sequence  $\mathfrak{A}(E) = \{\mathfrak{A}_k(E)\}_k$  of Banach spaces of  $k$ -homogeneous polynomials (see the definitions below), we define a Fréchet space  $H_{b\mathfrak{A}}(E)$  of holomorphic functions of bounded type associated to  $\mathfrak{A}(E)$ , much in the spirit of holomorphy types introduced by Nachbin [25] (see also [13]). Under fairly general conditions, we characterize the convolution operators on this spaces of holomorphic functions and show that they are hypercyclic whenever they are not scalar multiples of the identity. We obtain some results of [1,26,27] as particular cases. Also, we see that spaces of holomorphic functions generated by polynomial minimal ideals are covered by our settings, if the dual space  $E'$  has the approximation property. We finally consider polynomials of the Schatten–von Neumann class in the sense of [11] and the associated space of holomorphic functions.

In the first section, we give the notions of coherence that will be used throughout and show some general properties. We define the Fréchet space  $H_{b\mathfrak{A}}(E)$  of  $\mathfrak{A}$ -holomorphic functions of bounded type and present examples related to some common classes of homogeneous polynomials. The second section deals with duality questions for these spaces. Based on duality properties for each space  $\mathfrak{A}_k(E)$ , we characterize the dual of  $H_{b\mathfrak{A}}(E)$  as the space of holomorphic functions of exponential type  $\text{Exp}_{\mathfrak{B}}(E')$  associated to some sequence  $\mathfrak{B}$  of Banach spaces of polynomials. Minimal ideals of polynomials are particularly considered. Under certain hypotheses,  $\text{Exp}_{\mathfrak{B}}(E')$  is an algebra and its product can be identified with the convolution product in  $H_{b\mathfrak{A}}(E)'$  (Section 3). In the fourth section, we characterize the convolution operators on  $H_{b\mathfrak{A}}(E)$  and show that they are hypercyclic unless they are scalar multiples of the identity. We apply these results to the spaces of holomorphic functions of compact bounded type and of nuclearly entire functions of bounded type to obtain some results of [1,27]. We also consider holomorphic functions generated by coherent minimal ideals of polynomials. The last section deals with Schatten–von Neumann functions of bounded type, that is, those associated to the Schatten–von Neumann homogeneous polynomials defined by Cobos, Kühn and Peetre [11]. We use interpolation theory to show that these spaces satisfy our hypotheses. In particular, we obtain the hypercyclicity of convolution operators on the space of holomorphic function of Hilbert–Schmidt type [26].

We refer to [14,24] for notation and results regarding polynomials and holomorphic functions in general, and to [15,16] for polynomial ideals. For generalities on hypercyclic operators, we refer to the survey [19] and the references therein.

## 1. Definitions and properties

Throughout,  $E$  will denote a Banach space,  $E'$  its dual and  $\mathcal{P}^k(E)$  the space of continuous  $k$ -homogeneous polynomials on  $E$ , with the usual norm. Also, for each  $k$ ,  $\mathfrak{A}_k(E)$  and  $\mathfrak{B}_k(E)$

will be Banach spaces of  $k$ -homogeneous polynomials on  $E$  containing the finite type polynomials and continuously contained in  $\mathcal{P}^k(E)$ . In the sequel, all polynomials will be assumed to be continuous.

For  $P \in \mathcal{P}^k(E)$ ,  $\check{P}$  will denote the symmetric  $k$ -linear form associated to  $P$ . Also, if  $a \in E$  and  $r \in \mathbb{N}$ ,  $P_{a^r}$  is the  $(k - r)$ -homogeneous polynomial on  $E$  defined by

$$P_{a^r}(x) = \check{P}(\underbrace{a, \dots, a}_r, x, \dots, x).$$

In [9] we introduced the notion of coherent sequence of polynomial ideals. Here, we are interested in polynomials and analytic functions defined on a fixed Banach space. Therefore, we adapt the definition of coherence to our setting.

**Definition 1.1.** We say that the sequence  $\mathfrak{A}(E) = \{\mathfrak{A}_k(E)\}_k$  is *coherent* if there exist positive constants  $C$  and  $D$  such that the following conditions hold for all  $k$ :

(i) For each  $P \in \mathfrak{A}_{k+1}(E)$  and  $a \in E$ ,  $P_a$  belongs to  $\mathfrak{A}_k(E)$  and

$$\|P_a\|_{\mathfrak{A}_k(E)} \leq C \|P\|_{\mathfrak{A}_{k+1}(E)} \|a\|.$$

(ii) For each  $P \in \mathfrak{A}_k(E)$  and  $\gamma \in E'$ ,  $\gamma P$  belongs to  $\mathfrak{A}_{k+1}(E)$  and

$$\|\gamma P\|_{\mathfrak{A}_{k+1}(E)} \leq D \|\gamma\| \|P\|_{\mathfrak{A}_k(E)}.$$

Clearly, if some  $\mathfrak{A}_k(E)$  is nonempty, then, by condition (i),  $\mathfrak{A}_0(E)$  is the 1-dimensional space of constant functions on  $E$ . Note that the fact that  $\mathfrak{A}_k(E)$  contains the finite type polynomials can be deduced from condition (ii). Also, condition (i) assures that  $\mathfrak{A}_k(E)$  is continuously embedded in  $\mathcal{P}^k(E)$  for every  $k$ .

Condition (ii) states that the product of a polynomial in  $\mathfrak{A}(E)$  by a linear functional remains in  $\mathfrak{A}(E)$ . This is not necessarily the case if we multiply two polynomials in  $\mathfrak{A}(E)$ . Thus, we introduce the following:

**Definition 1.2.** A coherent sequence  $\mathfrak{A}(E) = \{\mathfrak{A}_k(E)\}_k$  is *multiplicative* if there exists  $M > 0$  such that for each  $P \in \mathfrak{A}_k(E)$  and  $Q \in \mathfrak{A}_l(E)$ , we have  $PQ \in \mathfrak{A}_{k+l}(E)$  and

$$\|PQ\|_{\mathfrak{A}_{k+l}(E)} \leq M^{k+l} \|P\|_{\mathfrak{A}_k(E)} \|Q\|_{\mathfrak{A}_l(E)}.$$

It is not difficult to see that not every coherent sequence is multiplicative (see [10]).

The space of holomorphic functions of bounded type  $H_b(E)$  is, in some sense, associated to the sequence  $\{\mathcal{P}^k(E)\}_k$  of all homogeneous polynomials. Analogously, we can define the space of holomorphic functions of bounded type associated to any coherent polynomial sequence:

**Definition 1.3.** Let  $\mathfrak{A}(E) = \{\mathfrak{A}_k(E)\}_k$  be a coherent sequence. We define the space of  $\mathfrak{A}$ -holomorphic functions of bounded type on  $E$  by

$$H_{b\mathfrak{A}}(E) = \left\{ f \in H(E) : \frac{d^k f(0)}{k!} \in \mathfrak{A}_k(E) \text{ for all } k \text{ and } \left\| \frac{d^k f(0)}{k!} \right\|_{\mathfrak{A}_k(E)}^{\frac{1}{k}} \xrightarrow{k \rightarrow \infty} 0 \right\}.$$

Although the definition of  $H_{b\mathfrak{A}}(E)$  involves the derivatives at 0, the same condition holds for the derivatives at any point  $a \in E$ , as the following lemma shows.

**Lemma 1.4.** Let  $\mathfrak{A}(E) = \{\mathfrak{A}_k(E)\}_k$  be a coherent sequence and  $f \in H_{b\mathfrak{A}}(E)$ . Then, for all  $a \in E$ ,

$$\lim_{k \rightarrow \infty} \left\| \frac{d^k f(a)}{k!} \right\|_{\mathfrak{A}_k(E)}^{\frac{1}{k}} = 0.$$

Therefore, the function  $\tau_a(f) = f(a + \cdot)$  belongs to  $H_{b\mathfrak{A}}(E)$ .

**Proof.** Let  $f = \sum_{k=0}^{\infty} P_k \in H_{b\mathfrak{A}}(E)$ , with  $P_k = \frac{d^k f(0)}{k!} \in \mathfrak{A}_k(E)$ . Then

$$f(x + a) = \sum_{k=0}^{\infty} \sum_{j=0}^k \binom{k}{j} \check{P}_k(a^{k-j}, x^j) = \sum_{j=0}^{\infty} \sum_{k=j}^{\infty} \binom{k}{j} \check{P}_k(a^{k-j}, x^j).$$

Thus  $\frac{d^j f(a)}{j!} = \sum_{k=j}^{\infty} \binom{k}{j} (P_k)_{a^{k-j}}$ . The partial sums of this series belong to  $\mathfrak{A}_k(E)$  since  $\mathfrak{A}(E)$  is a coherent sequence. For  $0 < \varepsilon < 1$ , let  $N \in \mathbb{N}$  be such that  $\|P_k\|_{\mathfrak{A}_k(E)}^{\frac{1}{k}} \leq \frac{\varepsilon}{C\|a\|}$  if  $k \geq N$ . We have

$$\begin{aligned} \sum_{k=\max(j,N)}^{\infty} \left\| \binom{k}{j} (P_k)_{a^{k-j}} \right\|_{\mathfrak{A}_j(E)} &\leq \sum_{k=\max(j,N)}^{\infty} \binom{k}{j} C^{k-j} \|P_k\|_{\mathfrak{A}_k(E)} \|a\|^{k-j} \\ &\leq \left(\frac{\varepsilon}{C\|a\|}\right)^j \sum_{k=\max(j,N)}^{\infty} \binom{k}{j} \varepsilon^{k-j} < \infty. \end{aligned}$$

Therefore, the series converges in  $\mathfrak{A}_j(E)$  and  $\frac{d^j f(a)}{j!} \in \mathfrak{A}_j(E)$ .

Also, for  $j \geq N$ ,

$$\left\| \frac{d^j f(a)}{j!} \right\|_{\mathfrak{A}_j(E)}^{\frac{1}{j}} \leq \frac{\varepsilon}{C\|a\|} \left( \sum_{k=j}^{\infty} \binom{k}{j} \varepsilon^{k-j} \right)^{\frac{1}{j}} \xrightarrow{j \rightarrow \infty} \frac{\varepsilon}{C\|a\|(1-\varepsilon)}.$$

Since  $\varepsilon > 0$  is arbitrary, we conclude that  $\left\| \frac{d^j f(a)}{j!} \right\|_{\mathfrak{A}_j(E)}^{\frac{1}{j}} \xrightarrow{j \rightarrow \infty} 0$ .  $\square$

**Lemma 1.5.** Let  $\mathfrak{A}(E) = \{\mathfrak{A}_k(E)\}_k$  be a coherent multiplicative sequence. If  $f, g \in H_{b\mathfrak{A}}(E)$ , then  $fg \in H_{b\mathfrak{A}}(E)$ . Therefore,  $H_{b\mathfrak{A}}(E)$  is an algebra.

**Proof.** Take  $f, g \in H_{b\mathfrak{A}}(E)$  with Taylor expansions  $f = \sum_{k=0}^{\infty} P_k$  and  $g = \sum_{k=0}^{\infty} Q_k$ , where  $P_k, Q_k \in \mathfrak{A}_k(E)$ . Then  $\frac{d^k (fg)(0)}{k!} = \sum_{j=0}^k P_j Q_{k-j}$  belongs to  $\mathfrak{A}_k(E)$ , since  $\mathfrak{A}(E)$  is multiplicative. For each  $\varepsilon > 0$ , take  $A > 0$  such that

$$\max\{\|P_k\|_{\mathfrak{A}_k(E)}, \|Q_k\|_{\mathfrak{A}_k(E)}\} \leq A\varepsilon^k,$$

for every  $k \geq 0$ . Then,

$$\begin{aligned} \left\| \frac{d^k (fg)(0)}{k!} \right\|_{\mathfrak{A}_k(E)}^{\frac{1}{k}} &\leq \left( \sum_{j=0}^k M^k \|P_j\|_{\mathfrak{A}_j(E)} \|Q_{k-j}\|_{\mathfrak{A}_{k-j}(E)} \right)^{\frac{1}{k}} \\ &\leq ((k+1)A^2)^{\frac{1}{k}} M\varepsilon \xrightarrow{k \rightarrow \infty} M\varepsilon. \end{aligned}$$

Therefore  $\left\| \frac{d^k (fg)(0)}{k!} \right\|_{\mathfrak{A}_k(E)}^{\frac{1}{k}} \rightarrow 0$  and thus  $fg \in H_{b\mathfrak{A}}(E)$ .  $\square$

We define in  $H_{b\mathfrak{A}}(E)$  a sequence of seminorms  $\{p_n\}_n$ ,

$$p_n(f) = \sum_{k=0}^{\infty} \left\| \frac{d^k f(0)}{k!} \right\|_{\mathfrak{A}_k(E)} n^k,$$

for  $f \in H_{b\mathfrak{A}}(E)$ .

It is easy to see that  $(H_{b\mathfrak{A}}(E), \{p_n\}_n)$  is a Fréchet space. Moreover, for each  $f \in H_{b\mathfrak{A}}(E)$ , the partial sums of the Taylor series expansion of  $f$  about the origin converges to  $f$  in  $H_{b\mathfrak{A}}(E)$ .

Next, we present examples of coherent multiplicative sequences. The coherence is shown in [9]. It is immediate that the sequences of Examples 1.6 and 1.8 are multiplicative. For the other examples see [14, Exercise 2.63] and [10]. Moreover, Boyd and Lasalle showed that the product of two integral polynomials with values in a Banach algebra is also integral [4].

**Example 1.6.** Let  $\mathfrak{A}(E)$  be the sequence of homogeneous polynomials,  $\mathfrak{A}_k(E) = \mathcal{P}^k(E)$ ,  $k \geq 1$ . Then,  $\mathfrak{A}(E)$  is coherent and multiplicative and  $H_{b\mathfrak{A}}(E) = H_b(E)$ .

**Example 1.7.** Let  $\mathfrak{A}(E)$  be the sequence of nuclear polynomials,  $\mathfrak{A}_k(E) = \mathcal{P}_N^k(E)$ ,  $k \geq 1$ . Then,  $\mathfrak{A}(E)$  is coherent and multiplicative and  $H_{b\mathfrak{A}}(E)$  is the space of nuclearly entire functions of bounded type  $H_{Nb}(E)$  defined by Gupta and Nachbin (see [14,20]).

**Example 1.8.** Suppose  $\mathfrak{A}(E)$  is the sequence of extendible polynomials, that is,  $\mathfrak{A}_k(E) = \mathcal{P}_e^k(E)$ ,  $k \geq 1$ . Then,  $\mathfrak{A}(E)$  is coherent and multiplicative. Moreover, an application of [8, Proposition 14] gives that  $H_{b\mathfrak{A}}(E)$  is the space of all  $f \in H(E)$  such that, for any Banach space  $G \supset E$ , there is an extension  $\tilde{f} \in H_b(G)$  of  $f$ .

**Example 1.9.** Let  $\mathfrak{A}(E)$  be the sequence of integral polynomials,  $\mathfrak{A}_k(E) = \mathcal{P}_I^k(E)$ ,  $k \geq 1$ . Then,  $\mathfrak{A}(E)$  is coherent and multiplicative and  $H_{b\mathfrak{A}}(E)$  is the space of integral holomorphic functions of bounded type  $H_{bI}(E)$  defined in [12].

## 2. Coherent sequences and duality

Let  $\mathfrak{A}(E) = \{\mathfrak{A}_k(E)\}_k$  be a coherent sequence. The Borel transform  $\beta : H_{b\mathfrak{A}}(E)' \rightarrow H(E')$  assigns to each element  $\varphi \in H_{b\mathfrak{A}}(E)'$  the holomorphic function  $\beta(\varphi) \in H(E')$ , given by  $\beta(\varphi)(\gamma) = \varphi(\exp \circ \gamma) = \varphi(e^\gamma)$ .

If  $\varphi \in \mathfrak{A}_k(E)'$ , we have two natural ways to identify  $\varphi$  with an element in  $H_{b\mathfrak{A}}(E)'$ :

$$H_{b\mathfrak{A}}(E) \xrightarrow{\tilde{\varphi}} \mathbb{C} \quad \text{or} \quad H_{b\mathfrak{A}}(E) \xrightarrow{\tilde{\varphi}} \mathbb{C}$$

$$f \mapsto \varphi\left(\frac{d^k f(0)}{k!}\right) \quad \text{or} \quad f \mapsto \varphi(d^k f(0)).$$

Thus, the Borel transform induces two different “polynomial” Borel transforms:  $\beta_k : \mathfrak{A}_k(E)' \rightarrow \mathcal{P}^k(E')$  where  $\beta_k(\varphi) = \beta(\tilde{\varphi})$  and  $B_k : \mathfrak{A}_k(E)' \rightarrow \mathcal{P}^k(E')$  given by  $B_k(\varphi) = \beta(\tilde{\varphi})$ . Note that for  $\gamma \in E'$ ,  $\beta_k(\varphi)(\gamma) = \varphi\left(\frac{\gamma^k}{k!}\right)$  and  $B_k(\varphi)(\gamma) = \varphi(\gamma^k)$ .

In the polynomial setting it is more common to use the mapping  $B_k$  than the mapping  $\beta_k$ . Moreover, it is not necessary to deal with holomorphic functions in order to define the polynomial Borel transform  $B_k$ . Indeed, for a Banach space of  $k$ -homogeneous polynomials  $\mathfrak{A}_k(E)$ , we can

define  $B_k : \mathfrak{A}_k(E)' \rightarrow \mathcal{P}^k(E')$  by  $B_k(\varphi)(\gamma) = \varphi(\gamma^k)$ , for every  $\gamma \in E'$ . Also, we can express the holomorphic Borel transform  $\beta$  in terms of the  $B_k$ 's:

$$\beta(\varphi) = \sum_{k=0}^{\infty} \frac{B_k(\varphi|_{\mathfrak{A}_k(E)})}{k!}.$$

**Notation.** In the sequel, the expression

$$\mathfrak{A}_k(E)' = \mathfrak{B}_k(E')$$

will always mean that the polynomial Borel transform  $B_k : \mathfrak{A}_k(E)' \rightarrow \mathfrak{B}_k(E')$  is an isometric isomorphism.

The following lemma states that in order to have this duality,  $\mathfrak{A}_k(E)$  must be “small”:

**Lemma 2.1.** *If  $\mathfrak{A}_k(E)' = \mathfrak{B}_k(E')$ , then finite type polynomials are dense in  $\mathfrak{A}_k(E)$ :*

$$\overline{\mathcal{P}_f^k(E)^{\mathfrak{A}_k}} = \mathfrak{A}_k(E).$$

**Proof.** Suppose there exists  $P \in \mathfrak{A}_k(E) - \overline{\mathcal{P}_f^k(E)^{\mathfrak{A}_k}}$ . Then there is  $\varphi \in \mathfrak{A}_k(E)'$  such that  $\varphi(P) = 1$  and  $\varphi|_{\mathcal{P}_f^k(E)} \equiv 0$ . For every  $\gamma \in E'$ ,  $\varphi(\gamma^k) = 0$  and then  $B_k(\varphi)(\gamma) = 0$ . Thus  $B_k(\varphi) = 0$  and therefore  $\varphi = 0$  in  $\mathfrak{A}_k(E)$ , which is a contradiction.  $\square$

Since the Taylor expansion about the origin of a function  $f \in H_{b\mathfrak{A}}(E)$  converges in  $H_{b\mathfrak{A}}(E)$ , we have

**Corollary 2.2.** *Let  $\{\mathfrak{A}_k(E)\}_k$  and  $\{\mathfrak{B}_k(E')\}_k$  be coherent sequences such that  $\mathfrak{A}_k(E)' = \mathfrak{B}_k(E')$  for all  $k$ . Then finite type polynomials are dense in  $H_{b\mathfrak{A}}(E)$ .*

**Examples 2.3.** We exhibit two simple situations of coherent sequences where the duality  $\mathfrak{A}_k(E)' = \mathfrak{B}_k(E')$  holds.

First, if  $\mathcal{P}_A^n(E)$  is the space of approximable  $n$ -homogeneous polynomials, then  $\mathcal{P}_A^n(E)'$  is (isometrically) the space of integral polynomials  $\mathcal{P}_I^n(E')$  [13].

Second, if  $E'$  has the approximation property, the dual of  $\mathcal{P}_N^n(E)$  coincides with  $\mathcal{P}^n(E')$  [20].

Note that in both cases, the sequence of dual spaces (i.e.  $\{\mathcal{P}^n(E')\}_n$  and  $\{\mathcal{P}_I^n(E')\}_n$ , respectively) is coherent and multiplicative.

**Remark 2.4.** Now we use results from [15] on minimal, maximal and dual (or adjoint) polynomial ideals to show how to obtain other examples in which the duality relation  $\mathfrak{A}_k(E)' = \mathfrak{B}_k(E')$  holds.

Suppose  $\mathfrak{A}_k$  is a minimal ideal and let  $\alpha_k$  be its associated  $k$ -symmetric tensor norm. If  $E'$  has the bounded approximation property, then  $\mathfrak{A}_k(E)$  identifies isometrically with  $\bigotimes_{s, \alpha_k}^k E'$  and then  $\mathfrak{A}_k(E)'$  is isometrically isomorphic to  $\mathfrak{B}_k(E') = \mathfrak{A}_k^{\text{dual}}(E')$  via the Borel transform  $B_k$ , see [15, Corollary 4.3].

On the other hand, if we start with a maximal ideal  $\mathfrak{B}_k$ , let  $\mathfrak{A}_k = (\mathfrak{B}_k^{\text{dual}})^{\text{min}}$ . Again, if  $E'$  has the bounded approximation property, the Borel transform  $B_k$  is an isometric isomorphism between  $\mathfrak{A}_k(E)'$  and  $\mathfrak{B}_k(E')$ .

The following proposition states that if the duals of  $\mathfrak{A}_k(E)$  form a coherent sequence of spaces of polynomials, then  $\{\mathfrak{A}_k(E)\}_k$  inherits the coherence.

**Proposition 2.5.** *Let  $\{\mathfrak{B}_k(E')\}_k$  be a coherent sequence with constants  $C$  and  $D$ , and suppose  $\mathfrak{A}_k(E)' = \mathfrak{B}_k(E')$  for all  $k$ . Then,  $\{\mathfrak{A}_k(E)\}_k$  is a coherent sequence with constants  $D$  and  $C$ .*

**Proof.** First, observe that if  $\xi \in E'$  and  $a \in E$ , then  $(\xi^{k+1})_a = \xi(a)\xi^k$ . Thus, for every  $\psi \in \mathfrak{B}_k(E')$ ,

$$B_k^{-1}(\psi)((\xi^{k+1})_a) = \xi(a)B_k^{-1}(\psi)(\xi^k) = \xi(a)\psi(\xi) = (a\psi)(\xi) = B_{k+1}^{-1}(a\psi)(\xi^{k+1}).$$

This implies that, for every  $P \in \mathcal{P}_f^{k+1}(E)$ ,  $B_k^{-1}(\psi)(P_a) = B_{k+1}^{-1}(a\psi)(P)$  and

$$\|P_a\|_{\mathfrak{A}_k(E)} = \sup_{\|\psi\|_{\mathfrak{B}_k(E')}=1} |B_{k+1}^{-1}(a\psi)(P)| \leq D\|a\|\|P\|_{\mathfrak{A}_{k+1}(E)}.$$

By the density result in Lemma 2.1, we obtain that for every  $P \in \mathfrak{A}_{k+1}(E)$  and every  $a \in E$ ,  $P_a$  belongs to  $\mathfrak{A}_k(E)$  and  $\|P_a\|_{\mathfrak{A}_k(E)} \leq D\|a\|\|P\|_{\mathfrak{A}_{k+1}(E)}$ .

To prove the second condition of coherence, note that if  $\gamma$  and  $\xi$  are in  $E'$  and  $\psi \in \mathfrak{B}_{k+1}(E')$  we have, by the polarization formula,

$$\begin{aligned} & B_{k+1}^{-1}(\psi)(\gamma\xi^k) \\ &= \frac{1}{2^{k+1}(k+1)!} \sum_{\varepsilon_1, \dots, \varepsilon_{k+1} = \pm 1} \varepsilon_1 \cdots \varepsilon_{k+1} B_{k+1}^{-1}(\psi)((\varepsilon_1\gamma + (\varepsilon_2 + \cdots + \varepsilon_{k+1})\xi)^{k+1}) \\ &= \frac{1}{2^{k+1}(k+1)!} \sum_{\varepsilon_1, \dots, \varepsilon_{k+1} = \pm 1} \varepsilon_1 \cdots \varepsilon_{k+1} \psi(\varepsilon_1\gamma + (\varepsilon_2 + \cdots + \varepsilon_{k+1})\xi) \\ &= \psi_\gamma(\xi) = B_k^{-1}(\psi_\gamma)(\xi^k). \end{aligned}$$

This implies that if  $P$  is a finite type  $k$ -homogeneous polynomial on  $E$ , then  $B_{k+1}^{-1}(\psi)(\gamma P) = B_k^{-1}(\psi_\gamma)(P)$ . And thus, for every  $P \in \mathcal{P}_f^k(E)$ ,

$$\|\gamma P\|_{\mathfrak{A}_{k+1}(E)} = \sup_{\|\psi\|_{\mathfrak{B}_k(E')}=1} |B_k^{-1}(\psi_\gamma)(P)| \leq C\|\gamma\|\|P\|_{\mathfrak{A}_k(E)}.$$

Therefore, again by Lemma 2.1, for every  $P \in \mathfrak{A}_k(E)$  the polynomial  $\gamma P$  belongs to  $\mathfrak{A}_{k+1}(E)$  and  $\|\gamma P\|_{\mathfrak{A}_{k+1}(E)} \leq C\|\gamma\|\|P\|_{\mathfrak{A}_k(E)}$ .  $\square$

In order to study the dual of  $H_{b\mathfrak{A}}(E)$ , we need the following

**Definition 2.6.** Let  $\mathfrak{B}(E) = \{\mathfrak{B}_k(E)\}_k$  be a coherent sequence. We define the holomorphic functions of  $\mathfrak{B}$ -exponential type on  $E$ ,

$$\text{Exp}_{\mathfrak{B}}(E) = \left\{ f \in H(E): d^k f(0) \in \mathfrak{B}_k(E) \text{ for all } k \text{ and } \limsup_{k \rightarrow \infty} \|d^k f(0)\|_{\mathfrak{B}_k}^{\frac{1}{k}} < \infty \right\}.$$

A classical result of Gupta states that, for  $E'$  with the approximation property, the Borel transform defines a duality between the space of nuclearly entire functions of bounded type over  $E$ ,  $H_{Nb}(E)$ , and the space of holomorphic mappings of exponential type on  $E'$ ,  $\text{Exp}(E')$  [14,20]. In an analogous way, we prove the following:

**Proposition 2.7.** Let  $\{\mathfrak{B}_k(E')\}_k$  be a coherent sequence and let  $\{\mathfrak{A}_k(E)\}_k$  be such that  $\mathfrak{A}_k(E)' = \mathfrak{B}_k(E')$  for all  $k$ . Then the Borel transform is a vector space isomorphism between  $H_{b\mathfrak{A}}(E)'$  and  $\text{Exp}_{\mathfrak{B}}(E')$ .

**Proof.** Let  $\varphi \in H_{b\mathfrak{A}}(E)'$ . Since  $\varphi$  is continuous, there are constants  $c, R > 0$  such that  $|\varphi(g)| \leq c p_R(g)$ , for every  $g \in H_{b\mathfrak{A}}(E)$ . In particular, for each  $k$ , if  $g$  belongs to  $\mathfrak{A}_k(E)$ , we get  $|\varphi(g)| \leq c R^k \|g\|_{\mathfrak{A}_k(E)}$ . Then  $\|\varphi|_{\mathfrak{A}_k(E)}\|_{\mathfrak{A}_k(E)'} \leq c R^k$ , for every  $k \geq 1$ . Moreover, since  $\frac{d^k \beta(\varphi)(0)}{k!}(\gamma) = \varphi|_{\mathfrak{A}_k(E)}\left(\frac{\gamma^k}{k!}\right)$  we have that  $d^k \beta(\varphi)(0) = B_k(\varphi|_{\mathfrak{A}_k(E)})$ . Then  $\|d^k \beta(\varphi)(0)\|_{\mathfrak{B}_k(E)'}^{\frac{1}{k}} = \|\varphi|_{\mathfrak{A}_k(E)}\|_{\mathfrak{A}_k(E)'}^{\frac{1}{k}} \leq c^{\frac{1}{k}} R$ . Therefore,  $\beta(\varphi) \in \text{Exp}_{\mathfrak{B}}(E')$ .

The Borel transform  $\beta$  is injective as a consequence of Corollary 2.2. To see that it is also surjective, let  $\psi \in \text{Exp}_{\mathfrak{B}}(E')$  and  $A = \sup_k \|d^k \psi(0)\|_{\mathfrak{B}_k(E)'}^{\frac{1}{k}}$ . For each  $g \in H_{b\mathfrak{A}}(E)$ , we define

$$\varphi(g) = \sum_{k=0}^{\infty} B_k^{-1}(d^k \psi(0)) \left( \frac{d^k g(0)}{k!} \right).$$

Since

$$|\varphi(g)| \leq \sum_{k=0}^{\infty} \|d^k \psi(0)\|_{\mathfrak{B}_k(E)'} \left\| \frac{d^k g(0)}{k!} \right\|_{\mathfrak{A}_k(E)} \leq \sum_{k=0}^{\infty} A^k \left\| \frac{d^k g(0)}{k!} \right\|_{\mathfrak{A}_k(E)} = p_A(g),$$

we have  $\varphi \in H_{b\mathfrak{A}}(E)'$ . Finally, simple computations show that  $\beta(\varphi) = \psi$ .  $\square$

### 3. Multiplication in $H_{b\mathfrak{A}}(E)'$

Suppose that  $\mathfrak{B}(E) = \{\mathfrak{B}_k(E)\}_k$  is a coherent multiplicative sequence. Then, we can see that  $\text{Exp}_{\mathfrak{B}}(E)$  is an algebra. Indeed, if  $f, g \in \text{Exp}_{\mathfrak{B}}(E)$ , with  $A_1 = \sup_k \|d^k f(0)\|_{\mathfrak{B}_k(E)}^{\frac{1}{k}}$  and  $A_2 = \sup_k \|d^k g(0)\|_{\mathfrak{B}_k(E)}^{\frac{1}{k}}$ , we have  $d^k(fg)(0) \in \mathfrak{B}_k(E)$  and  $\|d^k(fg)(0)\|_{\mathfrak{B}_k(E)}^{\frac{1}{k}} \leq M(A_1 + A_2)$ , where  $M$  is the multiplicative constant of the sequence  $\mathfrak{B}(E)$  (see the proof of Lemma 1.5 for some details).

This fact allows us to introduce a multiplication on  $H_{b\mathfrak{A}}(E)'$ , just copying the product in  $\text{Exp}_{\mathfrak{B}}(E)$  via the Borel transform:

**Definition 3.1.** Let  $\{\mathfrak{B}_k(E')\}_k$  be a coherent multiplicative sequence and let  $\{\mathfrak{A}_k(E)\}_k$  be such that  $\mathfrak{A}_k(E)' = \mathfrak{B}_k(E')$  for all  $k$ . For  $\varphi, \psi \in H_{b\mathfrak{A}}(E)'$  we define the product  $\odot$  in  $H_{b\mathfrak{A}}(E)'$ , by

$$\varphi \odot \psi = \beta^{-1}(\beta(\varphi)\beta(\psi)).$$

We would also like to define the “natural” convolution product in  $H_{b\mathfrak{A}}(E)'$ : if  $\varphi, \psi \in H_{b\mathfrak{A}}(E)'$ , then  $\varphi * \psi \in H_{b\mathfrak{A}}(E)'$  is the linear functional given by

$$\varphi * \psi(f) = \psi(x \mapsto \varphi(\tau_x f)).$$

We prove that this convolution product is well defined in a few steps. First we define:

**Definition 3.2.** Let  $\varphi \in H_{b\mathfrak{A}}(E)'$  and let  $f \in H_{b\mathfrak{A}}(E)$ . We define  $\varphi * f : E \rightarrow \mathbb{C}$  as follows,

$$\varphi * f(x) = \varphi(\tau_x f) = \varphi(f(x + \cdot)).$$



Therefore, the desired convolution product can be rewritten as  $\varphi * \psi(f) = \psi(\varphi * f)$ . For this to be well defined, we must show that  $\varphi * f \in H_{b\mathfrak{A}}(E)$  and that  $f \rightarrow \varphi * f$  is continuous. This will be done in the following lemma and theorem.

**Lemma 3.3.** *Let  $\{\mathfrak{B}_k(E')\}_k$  be a coherent multiplicative sequence and suppose  $\mathfrak{A}_k(E)' = \mathfrak{B}_k(E')$  for every  $k$ . Let  $P \in \mathfrak{A}_k(E)$  and  $\varphi \in \mathfrak{A}_{k-l}(E)'$ ,  $k \geq l$ . Then the  $l$ -homogeneous polynomial  $x \mapsto \varphi(P_{x^l})$  belongs to  $\mathfrak{A}_l(E)$  and*

$$\|x \mapsto \varphi(P_{x^l})\|_{\mathfrak{A}_l(E)} \leq M^k \|\varphi\|_{\mathfrak{A}_{k-l}(E)'} \|P\|_{\mathfrak{A}_k(E)}.$$

**Proof.** If  $P$  is a finite type polynomial, then  $x \mapsto \varphi(P_{x^l})$  is also a finite type polynomial and thus belongs to  $\mathfrak{A}_l(E)$ . We can therefore define a linear operator

$$T : (\mathcal{P}_f^k(E), \|\cdot\|_{\mathfrak{A}_k(E)}) \rightarrow \mathfrak{A}_l(E)$$

$$P = \sum_{j=1}^N \gamma_j^k \mapsto [x \mapsto \varphi(P_{x^l})].$$

If  $P = \sum_{j=1}^N \gamma_j^k$  and  $\psi \in \mathfrak{A}_l(E)'$ , then

$$\psi(T(P)) = \sum_{j=1}^N (B_{k-l}(\varphi)B_l(\psi))(\gamma_j) = B_k^{-1}(B_{k-l}(\varphi)B_l(\psi))(P).$$

Then, for every  $\psi \in \mathfrak{A}_l(E)'$ ,

$$|\psi(T(P))| \leq M^k \|\varphi\|_{\mathfrak{A}_{k-l}(E)'} \|\psi\|_{\mathfrak{A}_l(E)'} \|P\|_{\mathfrak{A}_k(E)}.$$

Therefore,  $T$  is continuous and, by Lemma 2.1, can be extended to  $\mathfrak{A}_k(E)$ . By density, it easily follows that  $T(P)(x) = \varphi(P_{x^l})$  for every  $x \in E$  and every  $P \in \mathfrak{A}_k(E)$ .  $\square$

**Theorem 3.4.** *Let  $\{\mathfrak{B}_k(E')\}_k$  be a coherent multiplicative sequence and  $\{\mathfrak{A}_k(E)\}_k$  be such that  $\mathfrak{A}_k(E)' = \mathfrak{B}_k(E')$  for every  $k$ . Let  $f \in H_{b\mathfrak{A}}(E)$  and  $\varphi \in H_{b\mathfrak{A}}(E)'$ . Then  $\varphi * f$  belongs to  $H_{b\mathfrak{A}}(E)$  and the application*

$$T_\varphi : H_{b\mathfrak{A}}(E) \rightarrow H_{b\mathfrak{A}}(E)$$

$$f \mapsto \varphi * f$$

*is a continuous linear operator.*

**Proof.** If  $f = \sum_{k=0}^\infty P_k$ ,  $P_k \in \mathfrak{A}_k(E)$ , then

$$\varphi * f(x) = \varphi(\tau_x f) = \varphi\left(\sum_{k=0}^\infty \sum_{l=0}^k \binom{k}{l} (P_k)_{x^l}\right) = \sum_{l=0}^\infty \sum_{k=l}^\infty \binom{k}{l} \varphi((P_k)_{x^l}).$$

From Lemma 3.3, the polynomial  $x \mapsto \varphi((P_k)_{x^l})$  belongs to  $\mathfrak{A}_l(E)$ . Also, proceeding as in the beginning of the proof of Proposition 2.7, we have that there exist constants  $c, R > 0$  such that  $\|\varphi\|_{\mathfrak{A}_k(E)} \|P_k\|_{\mathfrak{A}_k(E)} \leq cR^k$ . Let  $\varepsilon > 0$ . Since  $f \in H_{b\mathfrak{A}}(E)$ , there exists  $c_\varepsilon > 0$  such that  $\|P_k\|_{\mathfrak{A}_k(E)} \leq c_\varepsilon \varepsilon^k$  for all  $k$ . Then  $\frac{d^l(\varphi * f)(0)}{l!}$  belongs to  $\mathfrak{A}_l(E)$  because  $\frac{d^l(\varphi * f)(0)}{l!}(x) = \sum_{k=l}^\infty \binom{k}{l} \varphi((P_k)_{x^l})$  and, by Lemma 3.3,

$$\begin{aligned} \sum_{k=l}^{\infty} \binom{k}{l} \|x \mapsto \varphi(P_{x^l})\|_{\mathfrak{A}_l(E)} &\leq \sum_{k=l}^{\infty} \binom{k}{l} M^k \|\varphi\|_{\mathfrak{A}_{k-l}(E)} \|\mathfrak{A}_{k-l}(E)'\| P_k \|_{\mathfrak{A}_k(E)} \\ &\leq c \sum_{k=l}^{\infty} \binom{k}{l} M^k R^{k-l} \|P_k\|_{\mathfrak{A}_k(E)} \\ &\leq cc_{\varepsilon} (M\varepsilon)^l \sum_{k=l}^{\infty} \binom{k}{l} (MR\varepsilon)^{k-l} \\ &= \frac{cc_{\varepsilon} (M\varepsilon)^l}{(1 - MR\varepsilon)^{l+1}} < \infty, \end{aligned}$$

for arbitrary small  $\varepsilon > 0$ . Moreover, since

$$\left\| \frac{d^l(\varphi * f)(0)}{l!} \right\|_{\mathfrak{A}_l(E)}^{\frac{1}{l}} \leq \frac{(cc_{\varepsilon})^{\frac{1}{l}} M\varepsilon}{(1 - MR\varepsilon)^{\frac{l+1}{l}}} \xrightarrow{l \rightarrow \infty} \frac{M\varepsilon}{1 - MR\varepsilon},$$

for every  $\varepsilon$  sufficiently small, it follows that  $\varphi * f \in H_{b\mathfrak{A}}(E)$ . Finally, the application  $T_{\varphi}$  is continuous because

$$\begin{aligned} p_r(\varphi * f) &= \sum_{l=0}^{\infty} \left\| \frac{d^l(\varphi * f)(0)}{l!} \right\|_{\mathfrak{A}_l(E)} r^l \leq c \sum_{l=0}^{\infty} r^l \sum_{k=l}^{\infty} \binom{k}{l} M^k R^{k-l} \|P_k\|_{\mathfrak{A}_k(E)} \\ &= c \sum_{k=0}^{\infty} \|P_k\|_{\mathfrak{A}_k(E)} M^k \sum_{l=0}^k \binom{k}{l} r^l R^{k-l} = c \sum_{k=0}^{\infty} \|P_k\|_{\mathfrak{A}_k(E)} M^k (R + r)^k \\ &= c p_{M(R+r)}(f). \quad \square \end{aligned}$$

We can now define the following:

**Definition 3.5.** For  $\varphi, \psi \in H_{b\mathfrak{A}}(E)'$ , the convolution product  $\varphi * \psi \in H_{b\mathfrak{A}}(E)'$  is defined by

$$\varphi * \psi(f) = \psi(x \mapsto \varphi(\tau_x f)) = \psi(\varphi * f),$$

for  $f \in H_{b\mathfrak{A}}(E)$ .

As a consequence of Theorem 3.4 we have

**Corollary 3.6.** If  $\varphi \in H_{b\mathfrak{A}}(E)'$ , then the application

$$\begin{aligned} M_{\varphi} : H_{b\mathfrak{A}}(E)' &\rightarrow H_{b\mathfrak{A}}(E)' \\ \psi &\mapsto \psi * \varphi \end{aligned}$$

is a continuous linear operator when we consider the strong dual topology on  $H_{b\mathfrak{A}}(E)'$ .

**Proof.** Just note that  $M_{\varphi}$  is the transpose of  $T_{\varphi}$ .  $\square$

Next we show that the two products defined on  $H_{b\mathfrak{A}}(E)'$  are actually the same.

**Proposition 3.7.** Let  $\{\mathfrak{B}_k(E')\}_k$  be a coherent multiplicative sequence and  $\{\mathfrak{A}_k(E)\}_k$  such that  $\mathfrak{A}_k(E)' = \mathfrak{B}_k(E')$  for every  $k$ . Let  $\varphi, \psi \in H_{b\mathfrak{A}}(E)'$ . Then  $\varphi \odot \psi = \varphi * \psi$ .

**Proof.** Since finite type polynomials are dense in  $H_{b\mathfrak{A}}(E)$ , it is sufficient to verify that, for each  $\gamma \in E'$  and  $k \geq 0$ ,  $\varphi \odot \psi(\gamma^k) = \varphi * \psi(\gamma^k)$ .

For  $g \in \text{Exp}_{\mathfrak{B}}(E')$ ,  $\beta^{-1}(g)(\gamma^k) = B_k^{-1}(d^k g(0))(\gamma^k) = d^k g(0)(\gamma)$ . Then,

$$\begin{aligned} \varphi \odot \psi(\gamma^k) &= \beta^{-1}(\beta(\varphi)\beta(\psi))(\gamma^k) = d^k(\beta(\varphi)\beta(\psi))(0)(\gamma) \\ &= \sum_{j=0}^k \binom{k}{j} d^j(\beta(\varphi))(0)(\gamma) d^{k-j}(\beta(\psi))(0)(\gamma) \\ &= \sum_{j=0}^k \binom{k}{j} \varphi(\gamma^j) \psi(\gamma^{k-j}). \end{aligned}$$

On the other hand, since  $(\varphi * \psi)(\gamma^k)(x) = \varphi(\tau_x \gamma^k) = \sum_{j=0}^k \binom{k}{j} \varphi(\gamma^j) \psi(x)^{k-j}$ , we obtain

$$\varphi * \psi(\gamma^k) = \psi(\varphi * \gamma^k) = \sum_{j=0}^k \binom{k}{j} \varphi(\gamma^j) \psi(\gamma^{k-j}) = \varphi \odot \psi(\gamma^k). \quad \square$$

As an immediate consequence, we have:

**Corollary 3.8.** *The convolution product in  $H_{b\mathfrak{A}}(E)'$  is commutative.*

#### 4. Convolution operators and hypercyclicity

As usual, by a convolution operator  $T$ , we mean a continuous operator that commutes with translations  $\tau_x$ , i.e.,  $T \circ \tau_x = \tau_x \circ T$ . Next we characterize the convolution operators on  $H_{b\mathfrak{A}}(E)$ .

**Proposition 4.1.** *Let  $\{\mathfrak{B}_k(E')\}_k$  be a coherent sequence and  $\{\mathfrak{A}_k(E)\}_k$  such that  $\mathfrak{A}_k(E)' = \mathfrak{B}_k(E')$  for every  $k$ . Then for every convolution operator  $T : H_{b\mathfrak{A}}(E) \rightarrow H_{b\mathfrak{A}}(E)$  there exists a unique functional  $\varphi \in H_{b\mathfrak{A}}(E)'$  such that*

$$T(f) = \varphi * f,$$

for every  $f \in H_{b\mathfrak{A}}(E)$ . If, in addition,  $\{\mathfrak{B}_k(E')\}_k$  is multiplicative, then for every  $\varphi \in H_{b\mathfrak{A}}(E)'$ ,  $T_\varphi$  is a convolution operator on  $H_{b\mathfrak{A}}(E)$ .

**Proof.** Let  $T : H_{b\mathfrak{A}}(E) \rightarrow H_{b\mathfrak{A}}(E)$  be a convolution operator and let  $\varphi = \delta_0 \circ T$ , i.e.  $\varphi(f) = T(f)(0)$  for  $f \in H_{b\mathfrak{A}}(E)$ . Then  $\varphi \in H_{b\mathfrak{A}}(E)'$  and

$$T(f)(x) = [\tau_x T(f)](0) = T(\tau_x f)(0) = \varphi(\tau_x f) = \varphi * f(x),$$

for every  $f \in H_{b\mathfrak{A}}(E)$  and  $x \in E$ . The uniqueness of  $\varphi$  follows from the identity

$$T(e^\gamma) = \varphi * e^\gamma = [x \mapsto \varphi(\tau_x e^\gamma)] = \varphi(e^\gamma) e^\gamma = \beta(\varphi)(\gamma) e^\gamma \tag{1}$$

and the fact that  $\beta$  is one to one.

The second assertion is immediate by Theorem 3.4.  $\square$

Proposition 4.1 and the results in the previous sections allow us to establish the following:

**Corollary 4.2.** *Let  $\{\mathfrak{B}_k(E')\}_k$  be a coherent multiplicative sequence and let  $\{\mathfrak{A}_k(E')\}_k$  be such that  $\mathfrak{A}_k(E)' = \mathfrak{B}_k(E')$  for every  $k$ . Then  $\psi \mapsto T_{\beta^{-1}(\psi)}$  is an algebra isomorphism from  $\text{Exp}_{\mathfrak{B}}(E')$  onto the algebra of convolution operators on  $H_{b\mathfrak{A}}(E)$ .*

Now we are ready to prove the announced result about hypercyclicity of convolution operators. We follow the steps of the proof of [26, Theorem 3.1].

**Theorem 4.3.** *Suppose that  $E'$  is separable. Let  $\{\mathfrak{B}_k(E')\}_k$  be a coherent sequence and  $\{\mathfrak{A}_k(E)\}_k$  be such that  $\mathfrak{A}_k(E)' = \mathfrak{B}_k(E')$  for every  $k$ . Then, every convolution operator  $T : H_{b\mathfrak{A}}(E) \rightarrow H_{b\mathfrak{A}}(E)$  which is not a scalar multiple of the identity is hypercyclic.*

**Proof.** Let  $\varphi \in \overline{H_{b\mathfrak{A}}(E)'}$  be the linear functional given in Proposition 4.1, which satisfies  $T(f) = \varphi * f$ . Since  $T$  is not a scalar multiple of the identity, it follows that  $\varphi$  is not a scalar multiple of  $\delta_0$ .

Since  $E'$  is separable, by Corollary 2.2,  $H_{b\mathfrak{A}}(E)$  is separable. Therefore, we can use the Hypercyclicity Criterion [17,22].

First, note that  $\text{span}\{e^\gamma : \gamma \in U\}$  is dense in  $H_{b\mathfrak{A}}(E)$  for any nonempty open set  $U \subset E'$ . Indeed, if  $\psi \in H_{b\mathfrak{A}}(E)'$  and  $\psi(e^\gamma) = 0$  for every  $\gamma \in U$ , then  $\beta(\psi) \equiv 0$  in  $U$  and we have  $\beta(\psi) = 0$ . This means that  $\psi$  is 0.

Also, the fact that  $\varphi$  is not a scalar multiple of  $\delta_0$  implies that  $\beta(\varphi)$  is not a constant function. Indeed, if  $\beta(\varphi)$  was constant, then  $\lambda = \varphi(1) = \beta(\varphi)(0) = \beta(\varphi)(\gamma) = \varphi(e^\gamma)$  for all  $\gamma \in E'$ . But, on the other hand,  $\lambda = \lambda\delta_0(e^\gamma)$  for all  $\gamma \in E'$  and we would have that  $\varphi = \lambda\delta_0$ .

We will now prove that  $T$  satisfies the Hypercyclicity Criterion. Let

$$V = \{\gamma \in E' : |\beta(\varphi)(\gamma)| < 1\} \quad \text{and} \quad W = \{\gamma \in E' : |\beta(\varphi)(\gamma)| > 1\}.$$

Then  $V, W \subset E'$  are open sets, and they are nonempty. Indeed, if  $W = \emptyset$  ( $V = \emptyset$ ), then  $\beta(\varphi)$  ( $\frac{1}{\beta(\varphi)}$ ) would be a nonconstant bounded entire function. Let

$$H_V(E) = \text{span}\{e^\gamma, \gamma \in V\} \quad \text{and} \quad H_W(E) = \text{span}\{e^\gamma, \gamma \in W\}.$$

As we have observed,  $H_V(E)$  and  $H_W(E)$  are dense in  $H_{b\mathfrak{A}}(E)$ .

For  $\gamma \in V$ ,  $T(e^\gamma) = \beta(\varphi)(\gamma)e^\gamma$  (see (1)). Then  $T(H_V(E)) \subset H_V(E)$ . Also,  $T^n(e^\gamma) = \beta(\varphi)(\gamma)^n e^\gamma$ , and since  $|\beta(\varphi)(\gamma)| < 1$  for  $\gamma \in V$ , we obtain that  $T^n(f) \xrightarrow{n \rightarrow \infty} 0$ , for every  $f \in H_V(E)$ .

For  $\gamma \in W$ , let  $S(e^\gamma) = \frac{e^\gamma}{\beta(\varphi)(\gamma)}$ . Since  $\{e^\gamma, \gamma \in W\}$  is linearly independent (see the proof of [1, Lemma 2.3]), we can linearly extend  $S$  to  $H_W(E)$ . Then  $S(H_W(E)) \subset H_W(E)$  and  $S^n(e^\gamma) = \frac{e^\gamma}{\beta(\varphi)(\gamma)^n}$ . Thus  $S^n(f) \xrightarrow{n \rightarrow \infty} 0$ , for every  $f \in H_W(E)$ , since  $|\beta(\varphi)(\gamma)| > 1$  for  $\gamma \in W$ .

Finally,  $TS(e^\gamma) = T(\frac{e^\gamma}{\beta(\varphi)(\gamma)}) = e^\gamma$  and therefore  $TSf = f$  for all  $f \in H_W(E)$ .

By the Hypercyclicity Criterion,  $T$  is hypercyclic.  $\square$

By Theorem 3.4, if  $\mathfrak{B}(E')$  is a coherent multiplicative sequence, each  $\varphi \in H_{b\mathfrak{A}}(E)'$  defines a convolution operator  $f \mapsto \varphi * f$ . As mentioned in the previous proof, this operator is not a scalar multiple of the identity unless  $\varphi$  is a scalar multiple of  $\delta_0$ . Therefore, we have:

**Corollary 4.4.** *Suppose that  $E'$  is separable. Let  $\{\mathfrak{B}_k(E')\}_k$  be a coherent multiplicative sequence and  $\{\mathfrak{A}_k(E)\}_k$  such that  $\mathfrak{A}_k(E)' = \mathfrak{B}_k(E')$  for every  $k$ . For every  $\varphi \in H_{b\mathfrak{A}}(E)'$  which is not a scalar multiple of  $\delta_0$ , the operator*

$$T_\varphi : H_{b\mathfrak{A}}(E) \rightarrow H_{b\mathfrak{A}}(E)$$

$$f \mapsto T_\varphi(f) = \varphi * f$$

is hypercyclic.

Now we apply the previous results to different spaces of holomorphic functions.

**Example 4.5.** In [1] the authors study differentiation operators in  $H_{bc}(E)$ , the space of holomorphic functions of compact bounded type on  $E$  (that is:  $f = \sum P_n \in H_{bc}(E)$  whenever each  $P_n$  is an approximable  $n$ -homogeneous polynomial and  $\|P_n\|^{\frac{1}{n}} \rightarrow 0$ , where  $\|\cdot\|$  denotes the usual norm). They show that if the differentiation operator is constructed from an entire function of exponential type on  $\mathbb{C}$ , then it is hypercyclic. By Examples 2.3, this result is a particular case of Corollary 4.4. Indeed, every such differentiation operator in  $H_{b\mathfrak{A}}(E)$  is a convolution operator: if  $\Phi(z) = \sum c_n z^n$  is an exponential type function and  $a \in E$ , we define  $h(\gamma) = \sum c_n \gamma(a)^n$ , then  $h \in \text{Exp}_{\mathfrak{B}}(E')$  and  $\beta^{-1}(h)(f) = \sum c_n d^n f(0)(a)$  for all  $f \in H_{b\mathfrak{A}}(E)$ . Therefore,

$$\beta^{-1}(h) * f(x) = h(\tau_x f) = \sum c_n (d^n \tau_x f)(0)(a) = \sum c_n d^n f(x)(a).$$

**Example 4.6.** Consider the space  $H_{Nb}(E)$  of nuclearly entire functions of bounded type. If  $E'$  is separable with the approximation property, Examples 2.3 and Proposition 4.1 assert that the convolution operators on  $H_{Nb}(E)$  are precisely the operators  $T_\varphi$ ,  $\varphi \in H_{Nb}(E)'$ . Such an operator is hypercyclic whenever it is not a scalar multiple of the identity by Theorem 4.3. This last statement answers a question of Aron and Markose in [2]. For  $E$  a dual Banach space and a slightly different definition of nuclear polynomials, Petersson obtained a stronger version of this result [27].

**Example 4.7.** Let  $\{\mathfrak{A}_k\}_k$  be a sequence of minimal ideals. If  $E'$  has the bounded approximation property,  $\mathfrak{A}_k(E)'$  can, by Remark 2.4, be identified with  $\mathfrak{B}_k(E') = \mathfrak{A}_k^{\text{dual}}(E')$ . Therefore, if  $E'$  is also separable and  $\{\mathfrak{B}_k(E')\}_k$  is coherent and multiplicative, the convolution operators on  $H_{b\mathfrak{A}}(E)$  are those of the form  $T_\varphi$ ,  $\varphi \in H_{b\mathfrak{A}}(E)'$ . They are hypercyclic if they are not scalar multiples of the identity.

For example, we can take  $\mathfrak{A}_k$  to be the minimal ideal associated to the tensor norm  $\eta_k$  [7,21]. In this case,  $\{\mathfrak{B}_k\}_k$  is the coherent multiplicative sequence of extendible polynomials.

In the next section, we present other examples in which the hypotheses of Theorem 4.3 and Corollary 4.4 hold. Namely, we consider the holomorphic functions of bounded type associated to the Schatten–von Neumann polynomials in the sense of Cobos, Kühn and Peetre [11].

### 5. Holomorphic Schatten–von Neumann functions of bounded type

Suppose  $\mathcal{H}$  is a separable Hilbert space. Let us first recall the definition of Hilbert–Schmidt  $n$ -homogeneous polynomials on  $\mathcal{H}$ , which will be denoted  $\mathcal{S}_2^n(\mathcal{H})$ . For finite type polynomials it is possible to define an inner product in the following way: if  $y, z \in \mathcal{H}$ ,  $\langle \langle \cdot, y \rangle^n, \langle \cdot, z \rangle^n \rangle = \langle z, y \rangle^n$ , then  $\mathcal{S}_2^n(\mathcal{H})$  is the completion of the space of finite type polynomials  $\mathcal{P}_f^n(\mathcal{H})$  with this inner product.

Note that if  $\{e_i\}_i$  is an orthonormal basis of  $\mathcal{H}$  and  $P, Q \in \mathcal{S}_2^n(\mathcal{H})$ , then

$$\langle P, Q \rangle = \sum_{i_1, \dots, i_n=1}^{\infty} \check{P}(e_{i_1}, \dots, e_{i_n}) \overline{\check{Q}(e_{i_1}, \dots, e_{i_n})}.$$

Also note that the Borel transform is an isometric isomorphism between  $(\mathcal{S}_2^n(\mathcal{H}))'$  and  $\mathcal{S}_2^n(\mathcal{H}')$ .

Cobos, Kühn and Peetre in [11] define Schatten–von Neumann classes of multilinear functionals on  $\mathcal{H}$ . We adapt their definition to homogeneous polynomials on  $\mathcal{H}$ . To this end, throughout this section we will denote by  $\mathcal{S}_1^n(\mathcal{H})$  and  $\mathcal{S}_\infty^n(\mathcal{H})$  the spaces of  $n$ -homogeneous nuclear and approximable polynomials on  $\mathcal{H}$ , respectively. Since  $\mathcal{H}'$  has the approximation property and the Radon–Nikodym property, the Borel transform is an isometric isomorphism between  $(\mathcal{S}_1^n(\mathcal{H}))'$  and  $\mathcal{P}^n(\mathcal{H}')$ , and also between  $(\mathcal{S}_\infty^n(\mathcal{H}))'$  and  $\mathcal{S}_1^n(\mathcal{H}')$ .

We use the complex interpolation method [3,6] to define Schatten polynomials. Following [11], we define:

**Definition 5.1.** The Schatten–von Neumann  $p$ -class of  $n$ -homogeneous polynomials on  $\mathcal{H}$  is defined as

$$\mathcal{S}_p^n(\mathcal{H}) = [\mathcal{S}_1^n(\mathcal{H}), \mathcal{S}_\infty^n(\mathcal{H})]_\theta,$$

with  $\frac{1}{p} = 1 - \theta$  and  $0 < \theta < 1$ . Here,  $[\mathcal{S}_1^n(\mathcal{H}), \mathcal{S}_\infty^n(\mathcal{H})]_\theta$  denotes the space obtained by complex interpolation from the pair  $(\mathcal{S}_1^n(\mathcal{H}), \mathcal{S}_\infty^n(\mathcal{H}))$ , with parameter  $\theta$ .

The following result, which is the polynomial version of [11, Theorem 3.1] and can be proved analogously, shows that this definition is consistent with the definition of  $\mathcal{S}_2^n(\mathcal{H})$ .

**Proposition 5.2.** We have the following isometric isomorphisms:

$$[\mathcal{S}_1^n(\mathcal{H}), \mathcal{P}^n(\mathcal{H})]_{\frac{1}{2}} \stackrel{1}{=} [\mathcal{S}_1^n(\mathcal{H}), \mathcal{S}_\infty^n(\mathcal{H})]_{\frac{1}{2}} \stackrel{1}{=} \mathcal{S}_2^n(\mathcal{H}).$$

For the real method of interpolation the previous proposition holds with equivalent norms (see [11]).

In the proof of [11, Theorem 4.5], the reflexivity of  $\mathcal{S}_p^n(\mathcal{H})$  is proven. In fact, this can be seen as a consequence of the following result:

**Proposition 5.3.** If  $1 < p, q < \infty$  are such that  $\frac{1}{p} + \frac{1}{q} = 1$ , then the Borel transform is an isometric isomorphism between  $(\mathcal{S}_p^n(\mathcal{H}))'$  and  $\mathcal{S}_q^n(\mathcal{H}')$ .

**Proof.** We know that the statement holds when  $p = 2$ . Next, assume  $1 < p < 2$ .

By the Reiteration Theorem [3, 4.6.1] for the complex method,

$$\mathcal{S}_p^n(\mathcal{H}) = [\mathcal{S}_1^n(\mathcal{H}), \mathcal{S}_\infty^n(\mathcal{H})]_\theta = [\mathcal{S}_1^n(\mathcal{H}), \mathcal{S}_2^n(\mathcal{H})]_\eta,$$

where  $\theta = \frac{\eta}{2}$ . Then  $\mathcal{S}_p^n(\mathcal{H}) = [\mathcal{S}_1^n(\mathcal{H}), \mathcal{S}_2^n(\mathcal{H})]_{2\theta}$ .

In the following two cases, the Borel transform is an isomorphism

$$B_n : (\mathcal{S}_1^n(\mathcal{H}))' \rightarrow \mathcal{P}^n(\mathcal{H}') \quad \text{and} \quad B_n : (\mathcal{S}_2^n(\mathcal{H}))' \rightarrow \mathcal{S}_2^n(\mathcal{H}').$$

Then  $B_n : [(\mathcal{S}_1^n(\mathcal{H}))', (\mathcal{S}_2^n(\mathcal{H}))']_{2\theta} \rightarrow [\mathcal{P}^n(\mathcal{H}'), \mathcal{S}_2^n(\mathcal{H}')]_{2\theta}$  is an isomorphism.

Since  $S_2^n(\mathcal{H})$  is reflexive, and by a duality theorem [3, Corollary 4.5.2], we have

$$[(S_1^n(\mathcal{H}))', (S_2^n(\mathcal{H}))']_{2\theta} = [S_1^n(\mathcal{H}), S_2^n(\mathcal{H})]_{2\theta}' = (S_p^n(\mathcal{H}))'.$$

On the other hand,

$$[\mathcal{P}^n(\mathcal{H}'), S_2^n(\mathcal{H}')]_{2\theta} = [S_\infty^n(\mathcal{H}'), S_2^n(\mathcal{H}')]_{2\theta} = [S_1^n(\mathcal{H}'), S_\infty^n(\mathcal{H}')]_{\nu},$$

with  $\nu = \frac{1}{2}2\theta + (1 - 2\theta) = 1 - \theta$  (the first equality follows from [3, Theorem 4.2.2] and the last one from the Reiteration Theorem). Therefore,  $B_n : (S_p^n(\mathcal{H}))' \rightarrow [S_1^n(\mathcal{H}'), S_\infty^n(\mathcal{H}')]_{\nu} = S_q^n(\mathcal{H}')$  is an isomorphism, with  $\frac{1}{q} = 1 - \nu = \theta$ , that is,  $\frac{1}{q} = 1 - \frac{1}{p}$ .

For the case  $2 < p < \infty$ , we have

$$S_p^n(\mathcal{H}) = [S_1^n(\mathcal{H}), S_\infty^n(\mathcal{H})]_{\theta} = [S_2^n(\mathcal{H}), S_\infty^n(\mathcal{H})]_{\eta},$$

where  $\eta = 2\theta - 1$ . We proceed analogously to obtain the desired result.  $\square$

**Corollary 5.4.** *For  $1 < p < \infty$ , the Schatten–von Neumann classes  $S_p^n(\mathcal{H})$  are reflexive.*

In [10] it is shown that interpolation of coherent sequences is coherent. We will now show that interpolation of multiplicative coherent sequences is multiplicative.

**Proposition 5.5.** *Let  $E$  be Banach space and let  $\{\mathfrak{A}_k^0(E)\}_k, \{\mathfrak{A}_k^1(E)\}_k$  be coherent multiplicative sequences (with constants  $M_0$  and  $M_1$ , respectively). Then, the sequence  $\{\mathfrak{A}_k^\theta(E)\}_k$  is multiplicative, where  $\mathfrak{A}_k^\theta(E) = [\mathfrak{A}_k^0(E), \mathfrak{A}_k^1(E)]_\theta$ , for every  $0 < \theta < 1$  (with constant  $M_0^{1-\theta} M_1^\theta$ ).*

**Proof.** Since  $\{\mathfrak{A}_k^j(E)\}_k$  are multiplicative, for  $j = 0, 1$ , we can define a continuous bilinear mapping

$$\begin{aligned} \Phi_{k,l}^j : \mathfrak{A}_k^j(E) \times \mathfrak{A}_l^j(E) &\rightarrow \mathfrak{A}_{k+l}^j(E) \\ (P, Q) &\mapsto PQ. \end{aligned}$$

It follows that  $\|\Phi_{k,l}^j\| \leq M_j^{k+l}$ . Then, by the Multilinear Interpolation Theorem [3, Theorem 4.4.1],  $(P, Q) \mapsto PQ$  defines a mapping

$$\Phi_{k,l}^\theta : \mathfrak{A}_k^\theta(E) \times \mathfrak{A}_l^\theta(E) \rightarrow \mathfrak{A}_{k+l}^\theta(E),$$

which is continuous and has norm less than or equal to  $(M_0^{1-\theta} M_1^\theta)^{k+l}$ . That is, if  $P \in \mathfrak{A}_k^\theta(E), Q \in \mathfrak{A}_l^\theta(E)$ , then  $PQ \in \mathfrak{A}_{k+l}^\theta(E)$  and

$$\|PQ\|_{\mathfrak{A}_{k+l}^\theta(E)} \leq (M_0^{1-\theta} M_1^\theta)^{k+l} \|P\|_{\mathfrak{A}_k^\theta(E)} \|Q\|_{\mathfrak{A}_l^\theta(E)}. \quad \square$$

From Propositions 5.3 and 5.5 we have

**Corollary 5.6.** *For every  $1 \leq p \leq \infty$ , the sequence of Schatten–von Neumann  $p$ -classes of homogeneous polynomials  $\{S_p^k(\mathcal{H})\}_k$  is multiplicative.*

Let  $H_b S_p(\mathcal{H})$  be the holomorphic functions of bounded type of the Schatten–von Neumann  $p$ -class on  $\mathcal{H}$ , then by Theorems 3.4 and 4.3, we have

**Corollary 5.7.** *Let  $\mathcal{H}$  be separable Hilbert space. For  $1 \leq p \leq \infty$ , every convolution operator on  $H_{bS_p}(\mathcal{H})$  which is not a scalar multiple of the identity is hypercyclic. Moreover, each  $\varphi \in H_{bS_p}(\mathcal{H})'$  defines a convolution operator  $T_\varphi$ .*

The case  $p = 2$  of this result (Hilbert–Schmidt holomorphic functions of bounded type) was proved by Petersson in [26].

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